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<b>(21) International Application Number:</b> PCT/US98/23792 <b>(22) International Filing Date:</b> 11 November 1998 (11.11.98)  <b>(30) Priority Data:</b> 08/978,604 26 November 1997 (26.11.97) US 09/179,164 26 October 1998 (26.10.98) US  <b>(71) Applicant:</b> SUN CHEMICAL CORPORATION [US/US]; 222 Bridge Plaza South, Fort Lee, NJ 07024 (US).  <b>(72) Inventors:</b> KRISHNAN, Ramasamy; 121 Inwood Avenue, Colonia, NJ 07067 (US). YOUNG, Neil; 140 Skyline Drive, Oakland, NJ 07436 (US). GAN, Kegi; 350 Washington Avenue, Belleville, NJ 07109 (US). YAMAT, Marilyn; 18 Roosevelt Avenue, Bergenfield, NJ 07621 (US). BABIJ, Hugo; 134 Grove Street, Waldwick, NJ 07643 (US). CZEBOTAR, Martin, Thomas; 728 New Brunswick Road, Somerset, NJ 08873 (US).  <b>(74) Agent:</b> PERSLEY, Sidney; 222 Bridge Plaza South, Fort Lee, NJ 07024 (US).			<b>(81) Designated States:</b> BR, CA, JP, MX, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> WATER-BASED OFFSET LITHOGRAPHIC NEWSPAPER PRINTING INK			
<b>(57) Abstract</b>  A single fluid water-based offset lithographic news ink comprising water; a macromolecular resin binder comprised of resins soluble in water regardless of the pH of the water, resin rosin salts soluble in water at pH ranging from 7.5 to 10 and aqueous emulsion resins; pigment; a soy bean based modified resin; and a hydroxyethylethylene urea re-wetting agent.			

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## WATER-BASED OFFSET LITHOGRAPHIC NEWSPAPER PRINTING INK

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This application is a Continuation-In-Part of Application Serial No. 08/978,804, filed November 26, 1997, which is a Continuation-In-Part of Application Serial No. 08/614,587, filed March 3, 1996, now U.S. Patent No. 5,725,646.

Field of the Invention

The invention relates to water-based offset lithographic newspaper printing ink.

Description of Related Art

In an attempt to eliminate volatile organic compounds (VOCs) in the pressroom, water-based alternatives are being sought for ink formulations. Water-based printing inks for use in flexographic printing processes are known in the prior art. This type of printing process utilizes printing plates wherein the printing images stand up in relief, i.e. the areas to be printed are raised above the non-printing areas. Printing by the flexographic process requires relatively low pressure while sufficient pressure is applied to transfer the ink from the face of the image carrier to the surface of the substrate. Examples of useful water-based flexographic printing inks are disclosed in U.S. Patent No. 4,173,554 and The Printing Ink Manual, edited by R.H. Leach and R.J. Pierce, pages 571-576, 5th edition, (Blueprint, 1993).

Water-based inks for gravure printing are also well

5 known. In the gravure process, the printing image is  
engraved into a cylinder in the form of cells which  
become filled with ink. Printing is achieved by passing  
the substrate between the gravure cylinder and impression  
roller under pressure. Examples of useful water-based  
10 gravure printing inks are disclosed in U.S. Patent Nos.  
4,954,556 and 5,098,478.

The offset lithographic printing process presents a  
unique challenge to ink formulators since such process  
15 utilizes a planographic printing plate, i.e. the image  
and non-image areas are in the same plane on the image  
carrier, and two fluids are concurrently utilized.

It is fairly simple to define an image area by  
20 raising it above the background as in the case of the  
flexographic printing plate or lowering it as in the case  
of the gravure printing plate; avoidance of ink adhering  
to the non-image area is not too difficult to achieve.  
However, when all areas are on the same level, techniques  
25 must be utilized to insure that ink adheres only to the  
image area, and not to the non-image area.

In conventional offset lithographic printing  
processes, the plate is damped before it is inked with an  
30 oil-based ink. Typically, the damping process utilizes a  
fountain solution such as those described in US patents  
3,877,372, 4,278,467 and 4,854,969. Water will form a  
film on the hydrophilic areas (i.e. the non-image areas)  
of the printing plate, but will contract into tiny  
35 droplets on the oleophilic areas (i.e. the image areas).  
When an inked roller containing the oil-based ink is  
passed over the damped plate, it will be unable to ink

5 the areas covered by the water film (the non-image areas), but will emulsify the droplets on the water-repellant areas (the image areas) and these will ink up. Such process is called offset lithography because the inked image on the plate does not directly print onto the  
10 paper substrate, but is first "offset" onto a rubber blanket, and transferred therefrom onto the paper substrate.

As mentioned above, conventional offset lithographic  
15 printing processes entails the use of oil-based inks and water-based fountain solutions. The ink/water balance is critical and is quite demanding of the pressman's skills.

This issue is one of the several disadvantages associated with such printing processes as compared to  
20 flexographic and gravure printing processes. Moreover, the oil-based inks and aqueous fountain solutions typically employed in conventional offset lithographic printing processes contain fairly high levels of undesirable volatile organic compounds ("VOCs").

25 U.S. Patent 3,356,030 discloses the use of a water-based printing ink in respect to a method of planographic printing utilizing a lithographic printing plate whose non-image areas are coated with a cured coating of a thermosetting silicone resin. However, the patented  
30 method also entails the use of a volatile hydrocarbon fountain solution which will coat the non-image areas and which is re-applied between successive printings. Of course, the use of a volatile hydrocarbon fountain solution undermines the principal purpose of the water-  
35 based ink compositions of the present invention, i.e. the avoidance of the use of volatile organic compounds

5 ("VOCs") during the printing process. Indeed, the water-based ink compositions of the present invention may be used for offset lithographic printing processes without any fountain solution whatsoever.

10 In the 1980s, a resurgence of interest occurred in respect to "waterless" lithographic printing processes. Both positive and negative waterless planographic printing plates are commercially available from Toray Industries of Japan. The image area of a waterless  
15 planographic plate is a photopolymer similar to that employed for the image area of a conventional plate. However, the non-image area is coated with a polymer such as a silicone which is ink repellant. Further  
information about waterless printing plates and processes  
20 may be found in U.S. Patents 5,370,906 and 5,417,749.

The waterless printing process solved two issues: VOCs emanating from the fountain solutions and control of the ink/water balance by the pressman. However, the difference in surface energy between the image and non-  
25 image areas of the conventional offset lithographic printing plate is typically 40 dynes/cm is dramatically reduced to 20 dynes/cm in the case of the waterless printing plate. Therefore the latitude between scumming and poor print density is considerably narrowed and the  
30 issue of VOCs (emanating from the oil-based ink) still remains in respect to waterless printing.

German Offenlegungsschrift DE 41 19 348 A1 pertains to a moistureless offset printing method and a water-  
35 based printing ink. The ink described therein is one which will adhere to hydrophilic materials, but not to

5 hydrophobic materials, and contains a dye, water, 5-50% water-soluble macromolecular binder and a hygroscopic liquid, preferably a multihydric alcohol.

It is an object of the present invention to  
10 eliminate the principal disadvantages of conventional offset lithographic printing inks, viz. high levels of VOCs emanating from the oil-based ink and the aqueous fountain solution and the difficulty in controlling the ink/water balance, while preserving the principal  
15 advantage of the conventional lithographic printing process, i.e. high surface energy differential between the image and non-image areas of the printing plate.

Such object has been achieved by means of the  
20 present invention which comprises a water-based printing ink that is to be used in offset lithographic newspaper printing processes without the need for any accompanying fountain solutions.

25 Summary of the Invention

The invention is a water-based single fluid fountain solution free offset lithographic news ink comprising:  
(a) water; (b) a macromolecular resin binder comprised  
30 of: (i) resin soluble in water regardless of the pH of the water, (ii) resin rosin salts soluble in water at a pH ranging from about 7.5 to about 10, and (iii) aqueous emulsions resins; (c) a soybean oil based resin; (d) pigment; and (e) a hydroxyethylethylene urea rewetting  
35 agent.

5

Detailed Description of the Invention

The discovery of a highly compatible soya resin system has enabled us to formulate a waterbased offset lithographic ink for newspaper printing that has low and stable tack and sustains runnability. Once printed on the newspaper the ink has good rub resistance and a cost comparable to conventional oil-based newspaper inks.

Currently, all lithographic offset newspaper printing is done with inks which contain mineral oil or soy bean oils. These inks are also used in conjunction with a fountain solution which typically contains a desensitizer, a salt, and glycol. In order to prevent the evaporation of water from the printing rollers it was desirable to have constant humidity. This provided advantages over existing compositions, mainly zero V.O.C., water washability, and fast drying. It has now been found that the use of a certain soybean oil modified resins enable us to eliminate the humidity control requirement and use the existing multiple roller press set-up to print.

The printing plates for use with the newspaper printing ink of the present invention should be such that the image areas thereof are hydrophilic in nature, while the non-image areas are hydrophobic in nature. An example of a suitable printing plate is the "waterless" Toray type discussed above. However, the image area of the plate need not contain a photopolymer. The image area of the plate may comprise, e.g. a grained aluminum surface which has no coating thereon, but is hydrophilic in nature. The non-image area of the plate must, of course, be hydrophobic in nature. However, the non-image



5 area may be covered with any type of hydrophobic material, provided that such hydrophobic material adheres to the non-images area of the plate during the printing process.

10 Examples of suitable macromolecular binders which are soluble in the water phase of the ink regardless of the pH of the water phase include: carboxymethyl-cellulose, hydroxyethylcellulose, hydroxypropyl-cellulose, hydroxybutylmethylcellulose, poly(C<sub>1</sub>-C<sub>4</sub>)  
15 alkylene oxides, polyethyleneimine, polyvinyl alcohol, polyvinyl acetate, polyvinylpyrrolidone, polyvinyl-oxazolidone and polyacrylamide polymers.

Preferably, the macromolecular resin rosin salt  
20 binders present in the ink are only those resin rosin salt binders which are soluble in the water at pH ranging from about 7.5 to about 10. Suitable examples of such resin rosin salt binders include methacrylic resins; styrene-acrylic resins; rosin salts; and polystyrene-  
25 sulfonic acid and their salts. Ammonia or an organic amine such as monoethanolamine or N,N-diethanolamine may be added to the water phase in order to adjust the pH to the preferred value (a mineral acid or an organic acid such as acetic acid may be used to adjust the pH to a  
30 value in the range of about 2.5 to about 6.5).

Suitable examples of the macromolecular resin binders comprising aqueous emulsions include acrylic or vinyl emulsion polymers prepared from monomers selected  
35 from the group consisting of acrylic acid esters, methacrylic acid esters, acrylic acid esters of polyhydric alcohols, methyl methacrylate, styrene, vinyl

5 styrene and vinyl acetate.

The offset lithographic news ink formula in the present invention employs a soybean oil based modified resin. Examples of soybean oil based systems suitable  
10 for use in the present invention are described in U.S. Patent Nos. 5,167,704 and 4,419,132 which describe, *inter alia*, non-petroleum soybased news inks. Resins modifiable with soybean oils suitable for use in the present invention include Fancol VB and Gilsonite.

15 The pigment may be any of those which are suitable for formulating offset lithographic printing inks such as CI Pigment Yellows 1, 3, 4, 5, 12, 13, 14, 17, 55, 65, 73, 83, 97 and 98; CI Pigment Oranges 13, 16 and 46; CI  
20 Pigment Reds 2, 3, 4, 10, 12, 48, 48:1, 48:2, 53, 57:2, 81, 104, 146, 170 and 176; CI Pigment Greens 2, 7 and 36; CI Pigment Blues 1, 15:1, 15:2, 15:3, 15:6, 16, 29, 56 and 61; CI Pigment Violets 3, 23 and 37; CI Pigment Blacks 6 and 7; and CI Pigment Whites 6, 7, 18 and 26.

25 The rewetting agent is hydroxyethylethylene urea.

In the water-based offset lithographic news ink of the present invention the water is present in amounts of  
30 25 to 60 wt.%; and more preferably 35 to 50 wt.%. It is also preferred that the macromolecular resin binder be present in amounts of 10 to 70 wt.%; and more preferably 30 to 60 wt.%; and most preferably the macromolecular resin binder is a composite having up to 5 wt.% of a  
35 resin binder soluble in water regardless of the pH of the water; 10 to 70 wt.% of a resin binder soluble in water at a pH ranging from 7.5 to 10; and up to 20 wt.% of an

5 aqueous emulsion resin binder. The pigment is preferably present in amounts of 2 to 30 wt.% and the soybean oil based modified resin is present in amounts of 15 to 35 wt%. Finally, the hydroxyethyl ethylene urea rewetting agent is preferably present in amounts from 0.5 to 10  
10 wt.%.

If desired, the usual adjuvants such as waxes, anti-foam agents, biocides, surfactants, corrosion inhibitors, etc. may be incorporated in the inks of the present  
15 invention.

In a preferred embodiment of the water-based offset lithographic printing ink of the present invention a non-ionic surfactant is employed in the amount of up to 5  
20 wt.%. Suitable examples of the surfactant include acetylenic glycols, ethoxylated glycols and sorbitan esters.

The water-based news ink of the present invention  
25 are further illustrated by the following non-limiting examples in which all parts and percentages are by weight, unless otherwise indicated.

30 Example 1

A water-based news ink was prepared from the components indicated below. The water phase of the ink was supplied by the water present in the acrylic resin  
35 latex, hydroxypropyl cellulose, hydroxyethyl ethylene urea and the maleated rosin ester.

5

	<u>Component</u>	<u>Amount (wt. %)</u>
10	ethylene glycol modified maleated rosin resin	3-10
	Pigment Red 57:2	12-20
	water	25-35
	soybean oil modified resin (Fancol VB) <sup>(a)</sup>	20-28
	lanolin based surfactant	.5-2
15	hydroxyethylethylene urea	10-25
	mineral clay (Laponite RD) <sup>(b)</sup>	<u>.6-1</u>
	Total	100.00

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 (a) Fancol<sup>®</sup> is a trademark of Fanning Corp.

20 (b) Laponite<sup>®</sup> is a trademark of Southern Clay Products Co.  
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### Example 2

A water-based newspaper printing ink was prepared according to the following formulation:

25	<u>Component</u>	<u>Amount (wt. %)</u>
	ethylene glycol modified maleated rosin resin	3-10
	hydroxyethylethyleneurea (Sartomer SR-511) <sup>(a)</sup>	10-25
	mineral clay (Laponite RD) <sup>(b)</sup>	0.6-1.0
30	soybean oil modified resin (Fancol VB) <sup>(c)</sup>	20-28
	lanolin based surfactant	0.5-2
	water	25-35
	Pigment Red 57:2	<u>12-10</u>
	Total	100.00

-----  
 35 (a) SR-511 is a trademark of the Sartomer Corp.

(b) Laponite<sup>®</sup> is a trademark of Southern Clay Products.

(c) Fancol<sup>®</sup> is a trademark of Fanning Corp.

Example 3

5           A water-based newspaper printing ink was prepared in accordance with Example 2. The ink was run on a Didde printing press. The printing plate, obtained from Toray industries, had an aluminum oxide substrate coated with a photopolymer whose surface was hydrophilic in nature,  
10 while the non-image area was coated with a silicone polymer. The press run was carried out at temperatures ranging from 15-20°C at a press speed of 1,000 feet per minute (fpm). The print samples obtained from the press run were clear and sharp with stable tack and were fast  
15 drying. There was no discernible toning in the non-image area nor observable ink buildup on the rollers, plate or blanket. The results gave excellent print quality.

Example 4

20           A black water-based newspaper printing ink was prepared having the following formulation:

<u>Component</u>	<u>Amount (wt.%)</u>
25 ethylene glycol modified maleated rosin resin	8.00
monoethanol amine	2.96
ethoxylated nonionic surfactant (Surfynol 420) <sup>(a)</sup>	0.96
carbon black pigment (Regal 400R) <sup>(b)</sup>	19.16
water	22.54
30 hydroxyethylethylene urea (Sartomer SR-511) <sup>(c)</sup>	11.17
CaCO <sub>3</sub>	4.99
soybean oil modified resin (polyester)	26.82
synthetic hectorite mineral resembling clay (Laponite RD) <sup>(d)</sup>	1.40
35 non-ionic primary water/oil emulsifier (Fancol VB) <sup>(e)</sup>	<u>2.00</u>
Total	100.00

- 5 -----
- (a) Surfonyl<sup>®</sup> 20 is a trademark of the S.C. Johnson Corp.  
 (b) Regal<sup>®</sup> 400 R is a trademark of Cabot Corp.  
 (c) SR-511 is a trademark of the Sartomer Corp.  
 (d) Laponite<sup>®</sup> RD is a trademark of Southern Clay Products Co.  
 10 (e) Fancol<sup>®</sup> VB is a trademark of Fanning Corp.  
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The inks were run on a T70 lithographic press manufactured by Goss Graphics at a speed of 50k iph. The  
 15 printability and runnability of the inks were very good.

#### Example 5

A low tack version of the ink prepared in Example 4 was prepared using the following formulation:

20	<u>Component</u>	<u>Amount</u> <u>(wt.%)</u>
	carbon black pigment (Regal 400R) <sup>(a)</sup>	15.0
	nonionic primary water/oil emulsifier	8.5
25	(Fancol VB) <sup>(b)</sup>	
	soybean oil modified resin (polyester)	39.0
	monoethanol amine	2.0
	hydroxyethylethylene urea (Sartomer SR-511) <sup>(c)</sup>	12.6
	water	21.7
30	synthetic hectorite mineral resembling clay	
	(Laponite RD) <sup>(d)</sup>	<u>1.2</u>
	Total	100.00

- 
- (a) Regal<sup>®</sup> 400 R is a trademark of Cabot Corp.  
 35 (b) Fancol<sup>®</sup> VB is a trademark of Fanning Corp.  
 (c) SR-511 is a trademark of the Sartomer Corp.  
 (d) Laponite<sup>®</sup> RD is a trademark of Southern Clay Products Co.

5 The ink was run on an offset lithographic printing press  
manufactured by Koenig & Bauer of Germany with no  
problems. The print samples had a high print quality.

The present invention has been described in detail,  
10 including the preferred embodiments thereof. However, it  
will be appreciated that those skilled in the art, upon  
consideration of the present disclosure, may make  
modifications and/or improvements on the invention that  
fall within the scope and spirit of this invention as set  
15 forth in the following claims.

WHAT IS CLAIMED IS:

5

1. A single fluid water-based fountain solution free offset lithographic newspaper printing ink comprising: (a) water; (b) a macromolecular resin binder comprised of: (i) resins soluble in water regardless of  
10 the pH of the water, (ii) resin rosin salts soluble in water at a pH ranging from about 7.5 to about 10, and (iii) aqueous emulsion resins; (c) a soybean oil based resin; (d) pigment; and (e) a hydroxyethylethylene urea rewetting agent.

15

2. The ink of claim 1 wherein the amount of water is 35 to 50 wt.%.

3. The ink of claim 1 wherein the amount of  
20 macromolecular resin binder is 30 to 60 wt.%.

4. The ink of claim 1 wherein the macromolecular resin binders soluble in the water regardless of the pH of the water are selected from the group consisting of  
25 carboxymethylcellulose, hydroxyethylcellulose, hydroxypropylcellulose, hydroxybutylmethylcellulose, poly(C<sub>1</sub>-C<sub>4</sub>)alkylene oxides, polyethyleneimine, polyvinyl alcohol, polyvinyl acetate, polyvinyl-pyrrolidone, polyvinylloxazolidone and polyacrylamide.

30

5. The ink of claim 5 wherein the macromolecular resin binders soluble in the water at a pH ranging from about 7.5 to about 10 are selected from the group consisting of methacrylic resins; styreneacrylic resins;  
35 rosin salts; and polystyrenesulfonic acid and corresponding salts.



5

6. The ink of claim 1 wherein the macromolecular resin binders comprising aqueous emulsions are selected from the group consisting of acrylic or vinyl emulsion polymers prepared from monomers selected from the group consisting of acrylic acid esters, methacrylic acid esters, acrylic acid esters of polyhydric alcohols, methyl methacrylate, styrene, vinyl styrene and vinyl acetate.

7. The ink of claim 1 wherein the soybean oil based modified resin is Fancol VB.

8. The ink of claim 1 wherein the amount of soybean oil based modified resin is 15 to 35 wt%.

9. The ink of claim 1 wherein the amount of pigment is 5 to 20 wt.%.

10. The ink of claim 1 wherein the pigment is selected from the group consisting of CI Pigment Yellows 1, 3, 4, 5, 12, 13, 14, 17, 55, 65, 73, 83, 97 and 98; CI Pigment Oranges 13, 16 and 46; CI Pigment Reds 2, 3, 4, 10, 12, 48, 48:1, 48:2, 53, 57:2, 81, 104, 146, 170 and 176; CI Pigment Greens 2, 7 and 36; CI Pigment Blues 1, 15:1, 15:2, 15:3, 15:6, 16, 29, 56 and 61; CI Pigment Violets 3, 23 and 37; CI Pigment Blacks 6 and 7; and CI Pigment Whites 6, 7, 18 and 26.

11. The ink of claim 1 further comprising a non-ionic surfactant

12. The ink of claim 11 wherein the non-ionic

5 surfactant is present in an amount of up to 5 wt.%.

13. The ink of claim 11 wherein the nonionic  
surfactant is selected from the group consisting of  
acetylenic glycols, ethoxylated glycols and sorbitan  
10 esters.

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 98/23792

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C09D11/02 C09D11/06

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C09D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 97 33757 A (SUN CHEMICAL CORP ;HEIDELBERG HARRIS INC (US)) 18 September 1997 see page 6, line 1 - page 8, line 6 ---	1-6,9-13
A	WO 97 33750 A (HEIDELBERGER DRUCKMASCH AG) 18 September 1997 see page 6, line 18 - page 7, line 2 ---	1
A	US 4 079 026 A (MONE JOHN GREGORY) 14 March 1978 see column 2, line 21 - line 42 ---	1
A	US 4 419 132 A (MOYNIHAN JOHN T) 6 December 1983 cited in the application ---	1
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☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

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# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 98/23792

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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